

Polynomial matrices

Exercise: $A = A_s \lambda^s + A_{s-1} \lambda^{s-1} + \dots + A_0 \in F[\lambda]^{n \times n} \setminus \{0\}; \deg A = s, \deg B = t$
 $B = B_t \lambda^t + B_{t-1} \lambda^{t-1} + \dots + B_0$
 $A_s \neq 0, B_t \neq 0$

(i) Assume A_s is invertible. Then $A_s B_t \neq 0$
 (Otherwise, if $A_s B_t = 0$, then $B_t = 0$ ∇)

$$AB = A_s B_t \lambda^{s+t} + \dots$$

Thus, $\deg(AB) = \deg A + \deg B$.

(ii) $A = \begin{pmatrix} \lambda & 0 \\ \lambda^2 & \lambda+1 \end{pmatrix} = \underbrace{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}}_{\text{not invertible}} \lambda^2 + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \lambda + \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$

$$A^2 = \begin{pmatrix} \lambda^2 & 0 \\ 2\lambda^3 + \lambda^2 & (\lambda+1)^2 \end{pmatrix}. \text{ So } \deg A^2 = 3$$

Prop. (division of polynomial matrices)

Let $A, B \in F[\lambda]^{n \times n}$, leading coefficient matrix of B is invertible.

Then $\exists! Q, R \in F[\lambda]^{n \times n}: A = QB + R, \deg R < \deg B$

Uniqueness: Let $A = QB + R = \tilde{Q}B + \tilde{R}, \deg R < \deg B, \deg \tilde{R} < \deg B$.
 $\Rightarrow (Q - \tilde{Q})B = \tilde{R} - R$

Assume $Q \neq \tilde{Q}$.

Then $\deg B \leq \deg((Q - \tilde{Q})B) = \deg(\tilde{R} - R) < \deg B$ ∇

Thus, $Q = \tilde{Q}$ and $R = \tilde{R}$.

Lemma: Interchanging two rows (or two columns) is a sequence of elementary operations.

$$\underbrace{\begin{pmatrix} \vdots \\ -a \\ \vdots \\ -b \\ \vdots \end{pmatrix}}_{\substack{\in \mathbb{F}[\lambda]^{n \times n} \\ a, b \in \mathbb{F}[\lambda]^{1 \times n}}} \rightarrow \begin{pmatrix} \vdots \\ a \\ \vdots \\ a+b \\ \vdots \end{pmatrix} \rightarrow \begin{pmatrix} \vdots \\ -b \\ \vdots \\ a+b \\ \vdots \end{pmatrix} \rightarrow \begin{pmatrix} \vdots \\ -b \\ \vdots \\ a \\ \vdots \end{pmatrix} \rightarrow \begin{pmatrix} \vdots \\ b \\ \vdots \\ a \\ \vdots \end{pmatrix}$$

elementary matrices:

$$\left[\begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \alpha & \\ & & & \ddots & \\ & & & & 1 \end{pmatrix}, \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & a & \\ & & & \ddots & \\ & & & & 1 \end{pmatrix} \right]$$

$\alpha \in \mathbb{F} \setminus \{0\}$ $a \in \mathbb{F}[\lambda]$

Smith normal form

Example:
$$A = \begin{pmatrix} 0 & 0 & \lambda^2 - 1 \\ \lambda^2 + \lambda & \lambda^2 - \lambda & 0 \\ \boxed{\lambda^2} & 0 & 0 \end{pmatrix}, \quad \deg A = 2$$

$$s(A) = \min \{ \deg a_{ij} \mid a_{ij} \neq 0 \} = 2$$

(i) Choose a_{ij} with $\deg a_{ij} = s(A)$. Make it monic. Bring it to position a_{11} .

$$\rightarrow \begin{pmatrix} \lambda^2 & 0 & 0 \\ \lambda^2 + \lambda & \lambda^2 - \lambda & 0 \\ 0 & 0 & \lambda^2 - 1 \end{pmatrix}$$

(ii) Decrease degree along the 1st column.

$$\rightarrow \begin{pmatrix} \lambda^2 & 0 & 0 \\ \lambda & \lambda^2 - \lambda & 0 \\ 0 & 0 & \lambda^2 - 1 \end{pmatrix}, \quad s(A) = 1 \rightarrow \text{go to (i)}$$

(i)

$$\rightarrow \begin{pmatrix} \lambda & \lambda^2 - \lambda & 0 \\ \lambda^2 & 0 & 0 \\ 0 & 0 & \lambda^2 - 1 \end{pmatrix}$$

(ii) $\rightarrow \begin{pmatrix} \lambda & \lambda^2 - \lambda & 0 \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ 0 & 0 & \lambda^2 - 1 \end{pmatrix}, \delta(H) = 1 \leadsto \text{go to (iii)}$

(iii) Decrease degree along the 1st row.

$\rightarrow \begin{pmatrix} \lambda & 0 & 0 \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ 0 & 0 & \lambda^2 - 1 \end{pmatrix}, \delta(H) = 1 \leadsto \text{go to (iv)}$

(iv) Is every entry in \tilde{H} divisible by a_{11} ?

No, $\lambda^2 - 1$ is not divisible by λ . \leadsto Decrease degree of $\lambda^2 - 1$

$\rightarrow \begin{pmatrix} \lambda & 0 & 0 \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ \lambda & 0 & \lambda^2 - 1 \end{pmatrix} \rightarrow \begin{pmatrix} \lambda & 0 & -\lambda^2 \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ \lambda & 0 & -1 \end{pmatrix}, \delta(H) = 0 \leadsto \text{go to (i)}$

(i) $\rightarrow \begin{pmatrix} 1 & 0 & \lambda \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ \lambda^2 & 0 & \lambda \end{pmatrix}$

(ii) $\rightarrow \begin{pmatrix} 1 & 0 & \lambda \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ 0 & 0 & -\lambda^3 + \lambda \end{pmatrix}$

(iii) $\rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & -\lambda^3 + \lambda^2 & 0 \\ 0 & 0 & -\lambda^3 + \lambda \end{pmatrix}$

(iv) Yes, every entry of \tilde{H} is divisible by a_{11} . \leadsto continue with \tilde{H} at (i)

$H = \begin{pmatrix} -\lambda^3 + \lambda^2 & 0 \\ 0 & -\lambda^3 + \lambda \end{pmatrix}, \delta(H) = 3$

(i) $\rightarrow \begin{pmatrix} \overbrace{\lambda^3 - \lambda^2}^{a_{11}} & 0 \\ 0 & \underbrace{-\lambda^3 + \lambda}_{\tilde{H}} \end{pmatrix}$ (ii) (iii) already done.

(iv) \tilde{H} not divisible by a_{11} .

$$\rightarrow \begin{pmatrix} \lambda^3 - \lambda^2 & 0 \\ \lambda^3 - \lambda^2 & -\lambda^3 + \lambda \end{pmatrix} \rightarrow \begin{pmatrix} \lambda^3 - \lambda^2 & \lambda^3 - \lambda^2 \\ \lambda^3 - \lambda^2 & -\lambda^3 + \lambda \end{pmatrix}, \delta(H) = 2$$

(i) $\rightarrow \begin{pmatrix} \lambda^2 - \lambda & \lambda^3 - \lambda^2 \\ -\lambda^3 + \lambda^2 & \lambda^3 - \lambda^2 \end{pmatrix}$

(ii) $\rightarrow \begin{pmatrix} \lambda^2 - \lambda & \lambda^3 - \lambda^2 \\ 0 & \lambda^4 - \lambda^2 \end{pmatrix}$

(iii) $\rightarrow \begin{pmatrix} \overbrace{\lambda^2 - \lambda}^{a_{11}} & 0 \\ 0 & \underbrace{\lambda^4 - \lambda^2}_{\tilde{H}} \end{pmatrix} = \begin{pmatrix} \lambda(\lambda - 1) & 0 \\ 0 & \lambda^2(\lambda - 1)(\lambda + 1) \end{pmatrix}$

(iv) \tilde{H} is divisible by $a_{11} \rightarrow$ done

$\hookrightarrow H$ is equivalent to $\begin{pmatrix} 1 & & \\ & \lambda(\lambda - 1) & \\ & & \lambda^2(\lambda - 1)(\lambda + 1) \end{pmatrix}$.